



CORPORATE ACCOUNT APPLICATION FORM



This application form is intended exclusively for corporate accounts. If you require an individual account, please apply via <https://kirafinancial.com/sc-en/>.

Important Information: This form must be signed by two authorized representatives.

Before completing this form, you must read and understand the following terms and conditions that govern your trading account with Kira Markets Limited ("Kira Markets"): Privacy Policy, Order Execution Policy, Conflicts of Interest Policy, Schedule of Charges. These documents form part of our Client Agreement and are available on our website at <https://kirafinancial.com/sc-en/>.

The information provided in this form will be used to classify your company as either an Ordinary Investor or Professional Investor and assess the appropriateness of our services and products.

Ensure all information provided is accurate. Notify us in writing if any future changes may affect this information.

Risk Warning: Trading using leverage carries significant risk to your capital, and it is possible to lose more than your initial investment. If you are unsure about the risks, do not proceed with this application.

Board Resolution

Your company's board must convene and pass the necessary resolutions to facilitate the application for a corporate account and authorize trading with Kira Markets Limited ("Kira Markets"). These resolutions must specifically include:

- Approval for submitting this application.
- Authorization for the designated individuals to sign this application form on behalf of the company.
- Confirmation of the authorized signatories who will operate the account.

For guidance on drafting the resolutions, please refer to section 10 of this application form.

Supporting Documents

To complete your application, you must submit the following supporting documents:

- Certified copy of Certificate of Incorporation, Formation, or Organization
- Certified copy of Memorandum and Articles of Association
- Proof of registered address
- Proof of trading address (if different from the registered address)
- Certified copy of Register of Members or Shareholders
- Copy of the most recent bank statement for the account that will be used for funding or withdrawals
- Certified copy of registration with a government regulatory agency (if applicable)
- Certified copy of Trade License (if applicable)



Special Documentation Requirements

You may not need to provide all of the supporting documents listed above. Your Kira Markets Sales contact will provide you with a tailored list of required documents if your company falls under any of the following categories (please tick the relevant box):

- ☐ **An Authorized Person or authorized Market Institution**
- ☐ **A Regulated Financial Institution whose entire operations are subject to regulation and supervision, including AML (Anti-Money Laundering) regulation and supervision, in a jurisdiction with AML regulations equivalent to the standards set by the FATF recommendations**
- ☐ **A Subsidiary of a Regulated Financial Institution that observes the same AML standards as the parent institution**
- ☐ **A Company whose securities are listed on a Regulated Exchange and subject to standard disclosure obligations**
- ☐ **A Government body or non-commercial government entity in a FATF member country For further clarification or assistance, please contact your Kira Markets Sales representative.**

Identification Documents

For shareholders and beneficial owners with 25% or more ownership in the company, controlling persons, and authorized signatories, one document from each of the following sections (A and B) must be submitted:

Section A (Provide ONE of the following):

- Certified copy of Passport
 - MUST be within validity period
- Certified copy of Government Issued ID
 - MUST be accompanied by an attestation that you do not hold a valid passport
 - MUST be within validity period – not more than 10 years from date of issue
 - MUST show legal name, date of birth, nationality & registered ID number

Section B (Provide ONE of the following):

- Utility Bill
 - MUST state your current residential address as listed on the application form
 - MUST have been issued within the last 3 calendar months
- Bank Statement
 - MUST state your current residential address as listed on the application form
 - MUST have been issued within the last 3 calendar months
 - MUST be issued from the financial institution * an online PDF is acceptable; online screen shots are not acceptable
- Lease / Tenancy Agreement
 - MUST state your current residential address as listed on the application form
 - MUST be within the lease term



Certifying Your Documents

For the copies of your documents to be considered certified, they must be signed as a true and complete copy by ONE of the following:

- A Kira Markets employee can certify your documents upon seeing the ORIGINALS
- A registered lawyer
- A registered notary
- A chartered accountant
- A government ministry
- A designated bank official
- A designated postal official
- A designated police official
- An embassy or consulate

Foreign Account Tax Compliance Act (FATCA) Compliance

To comply with the Foreign Account Tax Compliance Act (FATCA), Kira Markets is required to obtain a U.S. tax certificate (W-8 series, as appropriate) from corporate clients.

For guidance on completing the relevant tax form, please refer to the IRS website or consult your tax advisor.

Important Notice

- Your application cannot be processed until the required FATCA documentation is received.
- In some cases, we may request additional information or documentation to support your application.

Submission Instructions

Please return the completed application form along with all supporting documents to our Sales Team.

If you have any questions or require assistance, please reach out to your Kira Markets Sales contact.

Account Information

Referred By

(for Referred Accounts Only)

Managed By

(for Managed Accounts Only)

Managed Account Requirements

If your account will be a managed account, you are required to provide Kira Markets with the following documents:

- A signed and notarized Limited Power of Attorney (LPOA).
- A completed Client Authorization Form.

Both documents are available upon request from Kira Markets. For further assistance or to request these forms, please contact your Kira Markets Sales representative.



1. Company Details

Full Corporate Name

(As in Certificate of Incorporation,
Formation or Organization)

Country of Incorporation

Establishment date

Nature of Business

Trading Name

Telephone No.

Registered Office Address

(P.O. Box No.)

Business Trading Address

(If different from above)

Company Website

Company tax residency

TIN(if available)

Is the company regulated by any Financial Services Regulator?

Yes ☐

No ☐

Name of Regulator

Regulatory Number

Is the Company Listed on a Recognized Stock Exchange?

Yes ☐

No ☐

Name of Exchange

Type of Industry

LEI No.

**GIIN**

(if applicable)

Primary Email

(for delivery of Electronic Statements)

Primary Email

(for the delivery of Transaction Notifications)

Name**Contact Number****Email Address****2. Director Details**

Please list all directors of the Company (or equivalent). If necessary, provide in an additional sheet.

Person 1**Title****Full Name****Job Title****Date of Birth****TIN**(if available)**Residential Address****Person 2****Title****Full Name****Job Title****Date of Birth****TIN**(if available)**Residential Address**



Person 3

Title

Full Name

Job Title

Date of Birth

TIN(if available)

Residential Address

Person 4

Title

Full Name

Job Title

Date of Birth

TIN(if available)

Residential Address

Person 5

Title

Full Name

Job Title

Date of Birth

TIN(if available)

Residential Address



3. Beneficial Owner Details

Please provide the details of all Beneficial Owners or Shareholders holding 25% or more equity or voting rights in the company. If additional space is required, please attach a separate sheet.

Note: Ensure all submitted details are accurate and supported by certified documentation where applicable.

3.1 Corporate Owners or Shareholders

(Legal Entities)

Legal Person 1

Legal Name

Country of Incorporation

Incorporation Date

TIN(if available)

Holding (%)

Registered Office Address

Legal Person 2

Legal Name

Country of Incorporation

Incorporation Date

TIN(if available)

Holding (%)

Registered Office Address



Legal Person 3

Legal Name

Country of Incorporation

Incorporation Date

TIN(if available)

Holding (%)

Registered Office Address

Legal Person 4

Legal Name

Country of Incorporation

Incorporation Date

TIN(if available)

Holding (%)

Registered Office Address

Legal Person 5

Legal Name

Country of Incorporation

Incorporation Date

TIN(if available)

Holding (%)

Registered Office Address



3.2 Individual Beneficial Owners or Shareholders

Person 1

Title

Full Name

Job Title

Date of Birth

TIN(if available)

Residential Address

Person 2

Title

Full Name

Job Title

Date of Birth

TIN(if available)

Residential Address

Person 3

Title

Full Name

Job Title

Date of Birth

TIN(if available)

Residential Address



Person 4

Title

Full Name

Job Title

Date of Birth

TIN(if available)

Residential Address

Person 5

Title

Full Name

Job Title

Date of Birth

TIN(if available)

Residential Address

4. Additional Authorized Signatory Section

Person 1

Title

Full Name

Employment Title

Date of Birth

Corporate Email Address

Residential Address



Person 2

Title

Full Name

Employment Title

Date of Birth

Corporate Email Address

Residential Address

Person 3

Title

Full Name

Employment Title

Date of Birth

Corporate Email Address

Residential Address

Person 4

Title

Full Name

Employment Title

Date of Birth

Corporate Email Address

Residential Address



Person 5

Title

Full Name

Employment Title

Date of Birth

Corporate Email Address

Residential Address

5. Financial Details

- A. What is the approximate size of the Company's liquid financial instrument portfolio?**
(including cash deposits, investments but excluding any property)

USD

- B. Has the Company ever been declared or subject to bankruptcy or insolvency proceedings?**

If so, please provide details:

Yes ☐

No ☐

- C. Has the Company or its directors ever been subject to any criminal, regulatory or legal proceedings?**

If so, please provide details: :

Yes ☐

No ☐

- D. Annual Turnover**

USD

- E. Please provide details on the source of the Company's accumulated wealth**

- F. Do you operate as broker or intermediary for any third party?**

If so, please provide details:

Yes ☐

No ☐

- G. Funds available for trading with Kira Markets**

USD



H. Amount of initial deposit

USD

I. Net Assets (USD)

Under 50,000 ☐

50,001 – 500,000 ☐

500,001 - 2 mil ☐

above 2 mil – 10 mil ☐

above 10 mil – 20 mil ☐

above 20 mil ☐

5.1 Banking Details

(for all deposits and withdrawals)

Account Name

Bank Name and Branch

Bank Account No.

Sort Code / BIC

SWIFT

IBAN

6. Client Classification

Please tick all boxes below that correspond with a statement that is applicable to you:

6.1 Professional investor by nature

A. International bodies and organizations whose members are states, central banks, or national monetary authorities.

☐

- B. Governments, entities, institutions, and their own investment and non-investment bodies, or companies wholly owned by any of them. ☐
- C. Central bank or other national monetary authority of any country or any jurisdiction or legal authority ☐
- D. Capital market institutions licensed by a supervisory authority. ☐
- E. Financial institutions. ☐
- F. A regulated financial institution, a domestic or foreign investment fund, a regulated pension fund management company, or an organized pension fund ☐
- G. Any body whose main activity is the investment in financial instruments, asset securitization, or financial transactions. ☐
- H. Any company whose shares are listed or accepted to being traded in any market of an IOSCO state member. ☐

6.2 Professional Investor

(based on the service)

- A. Practicing an activity involving the provision of credit facilities for commercial purposes for any of the following: ☐
 - (i) An undertaking person.
 - (ii) The controlling body of the undertaking person.
 - (iii) Any member of the group to which the undertaking person belongs.
 - (iv) Any joint investment venture that participates in the undertaking person.
- B. A person who practices the service of arranging credit facilities and investment deals related to structuring, financing and companies. ☐

6.3 Professional Investor

((resident))

- A. Any establishment with a special purpose or a special legal form such as the trust and the institution founded only to facilitate the management of an investment portfolio of a natural person ☐
- B. Undertaking person who meets the following conditions: ☐
 - (i) Has sufficient experience and understanding of the markets, financial products and related financial transactions and the risks associated therewith, in accordance with suitability standards.



C. Undertaking person who has:

- (i) A controlling natural person who owns the majority of shares in a company, is able to control the majority of voting rights therein, or has the power to appoint or remove the majority of its board of directors;
- (ii) Holding or subsidiary company; and
- (iii) A joint venture partner.

☐

If you have not ticked any of the boxes above, you will be classified as an **Ordinary Investor**.

If you have ticked one or more of the boxes, you will be classified as a **Professional Investor**. Please note that as a **Professional Investor**, you will not be entitled to the same protections available to **Ordinary Investors**.

However, if you wish, you may request to be classified as an **Ordinary Investor** despite ticking the boxes for a Professional Investor classification.

Please confirm your desired classification below:

I agree to be classified as a Professional Investor ☐

I request to be classified as an Ordinary Investor ☐

By selecting **Professional Investor**, you acknowledge that you are aware of the different level of protection and regulatory requirements compared to an Ordinary Investor.

If you have any questions or need further clarification, please contact your Kira Markets Sales representative.

7. Trading Experience

Please ensure that all the information you provide in this application form is accurate and complete. Depending on the details you submit, we may need to follow up with you for further clarification or additional information. This is to ensure that we comply with relevant regulations and that your application is processed correctly.

7.1 How many times have you traded or invested the following products in the last three years?

Exchange Traded Derivatives

For example: warrants, futures or options (including forex)

☐ **Over 20 times**

☐ **10 - 20 times**

☐ **0 – 10 times**

Over the Counter Derivatives

For example: CFDs, Spread Bets (including forex)

☐ **Over 20 times**

☐ **10 - 20 times**

☐ **0 – 10 times**

7.2 How have you mostly traded these products?

Independently or with Advice ☐

Through a managed fund ☐

Never ☐



7.3 Do you have any relevant qualifications or work experience that have given you an understanding of our products?

- Yes, from a relevant role in a financial services firm ☐
- Yes, a relevant professional qualification or education ☐
- Yes, both of the above ☐
- No ☐

8. Conflict of Interest

Please read the following declaration carefully. As a regulated entity, we are required to assess whether any conflict of interest exists before opening your account. To ensure transparency and compliance, we request that you disclose any potential conflicts.

If you have any doubts or concerns regarding the Company's relationships with the parties listed below, we strongly recommend that you provide full and complete details of any relevant relationships the Company has that meet the criteria stated below:

- **Affiliates or subsidiaries** of the Kira Markets.
 - **Shareholders** with a significant interest in the Kira Markets (e.g., 25% or more).
 - **Directors, officers, or employees** of the Company.
 - **Any other entities** or individuals with a financial or operational connection to the Kira Markets that could potentially influence the Kira Markets' services, products, or advice.
- Any capital market institution, any companies publicly listed, any other entities licensed or the board of directors of any such entities.

On behalf of the Company, I confirm that the Company has no relationship with any of the above parties. ☐

On behalf of the Company, I declare that the Company has the following relationships with ☐

9. Confirmation of Knowledge and Understanding

You should **not sign this application form** if you are unsure about the nature of the risks involved in trading using the services provided by Kira Markets.

Please be aware that **marginied transactions** carry a high level of risk to your capital. It is possible that you may lose more than your initial investment, and you should only trade if you fully understand the risks involved.

If you have any doubts or need further clarification, we strongly recommend seeking professional advice before proceeding with your application.

By signing this form, you acknowledge that you are aware of and understand the risks associated with trading.



Declaration

I/We consent to the provision to the Company of all relevant documents mentioned in this account application form and in particular the Privacy Policy; Order Execution Policy; Conflicts of Interest Policy; Schedule of Charges which was made available via the Kira Markets website (<https://kirafinancial.com/sc-en/>).

1. I/We confirm that the Company fully understands the nature and risks of margin trading. I/We understand that I/we can incur losses significantly greater than the amount ("margin") I/We are initially required to deposit to open a margined transaction and should this occur I/We will be required to cover these losses.
2. For the Company's benefit and protection, I/we and the Authorized Signatories, have read and understood all documents mentioned in this account application form and in particular the Privacy Policy; Order Execution Policy; Conflicts of Interest Policy; Schedule of Charges which was made available via the website (<https://kirafinancial.com/sc-en/>). Furthermore, I/we hereby agree that the Company shall be bound by the terms of all these documents and that they form part of its contractual relationship with Kira Markets.
3. I/We hereby represent that, by signing below, the information provided on this account application form is true and accurate (and not misleading in any material respect). I/We confirm that I/we will notify Kira Markets immediately of any changes to the information I/we have provided including but not limited to any change in beneficial ownership.
4. I/We hereby confirm that all documents submitted along with this account application form are genuine, true and valid.

I/We agree to hold harmless, release and indemnify Kira Markets from any and all loss or liability arising from Kira Markets and its related group companies or affiliates placing reliance on this declaration made by us.

Signatures of two authorized representatives

Signature

Date: (DD/MM/YYYY)

Full Name

Position

Signature

Date: (DD/MM/YYYY)

Full Name

Position

10. Certified Board Resolution

I/We certify that at a meeting of the directors of

("Company"), whose registered office is at

held on

the following resolutions were duly passed:

1. That an account(s) ("Account") be opened with Kira Markets Limited ("Kira Markets") for the purposes of trading margin instruments as offered by Kira Markets from time to time ("Instruments") according to the relevant Terms of Business;
2. That each of the persons whose names and specimen signatures appear in the attached Authorized Signatories list (each an "Authorized Signatory"), shall be and are hereby jointly and severally authorized:
 - a. To sign any document in connection with the opening and operation of the Account, including the account application form;
 - b. To authorize fees and commissions to be paid to Kira Markets or third party from the Account;
 - c. To authorize deposits to be made into and withdrawals to be made from the Account;
 - d. To receive requests and demands for additional margin, notices of intention to purchase or sell any Instrument and any other notices or demands of whatever character;
 - e. To receive and confirm the correctness of notice, confirmations, requests, demands and confirmations of every kind;
 - f. To place electronic and oral orders for Instruments with Kira Markets directly or through the System in the Account;
 - g. To authorize and appoint traders, Account Managers, or other persons or entities to place electronic and oral orders for Instruments with Kira Markets directly or through the System in the Account
 - h. To settle, compromise, adjust and give release on behalf of the Company with respect to any and all claims, disputes and complaints relating to the Account;
 - i. To perform all terms and provisions of any and all agreements executed with Kira Markets and to take any action relating to any of the foregoing matters.



3. That these resolutions be communicated to Kira Markets and shall remain in force and that Kira Markets shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by a Director of the Company shall have been received by the Company.
4. We further certify that the Company has the power under its governing instruments and applicable law to take any action authorized herein and contemplated by the foregoing resolutions. We agree to hold harmless, release and indemnify Kira Markets from any and all loss or liability arising from Kira Markets and its related group companies or affiliates placing reliance on this declaration made by us.

Director Name

Signature

Date: (DD/MM/YYYY)

Director Name

Signature

Date: (DD/MM/YYYY)

(Affix company stamp)



11. Authorized Signatories List

Authorized Signatories for

(name of Company) as at

(date).

Name

Job Title

Signatory Rights

Solely ☐

Jointly ☐

(select one please)

Signature Specimen

Name

Job Title

Signatory Rights

Solely ☐

Jointly ☐

(select one please)

Signature Specimen

Name

Job Title

Signatory Rights

Solely ☐

Jointly ☐

(select one please)

Signature Specimen



Name

Job Title

Signatory Rights

Solely ☐

Jointly ☐

(select one please)

Signature Specimen

Name

Job Title

Signatory Rights

Solely ☐

Jointly ☐

(select one please)

Signature Specimen

Director Name

Signature

Date: (DD/MM/YYYY)

Director Name

Signature

Date: (DD/MM/YYYY)